COUNTY OF Placer®

MENTAL HEALTH SERVICES ACT
FY2017-2020 REVERSION EXPENDITURE PLAN

Before the Board of Supervisors
County of Placer, State of California

In the matter of: A Resolution adopting the Placer County Mental Health Services Act Reversion Expenditure Plan for the period of July 1, 2017 through June 30, 2020.

Resolution No.: 2018-088

The following Resolution was duly passed by the Board of Supervisors of the County of Placer at a regular meeting held June 5, 2018, by the following vote on roll call:

THE FOREGOING INSTRUMENT IS A CORRECT COPY OF THE ORIGINAL ON FILE IN THIS OFFICE
ATTEST
MEGAN WOOD
Clerk of the Board of Supervisors, County of Placer, State of California
Deputy Clerk

Ayes: DURAN, WEYGANDT, UHLER, HOLMES

Noes: NONE

Absent: MONTGOMERY

Signed and approved by me after its passage.

Jim Holmes
Chair, Board of Supervisors

Attest:
Gina Flemmng
Clerk of said Board

WHEREAS Placer County Health and Human Services wishes to utilize the Mental Health Services Act funds that are subject to reversion for the period of July 1, 2017 through June 30, 2020.

NOW, THEREFORE, BE IT RESOLVED that the Placer County Board of Supervisors adopted the Placer County Mental Health Services Act Reversion Expenditure Plan for the period of July 1, 2017 through June 30, 2020.

MHSA COUNTY COMPLIANCE CERTIFICATION

County: PLACER Reversion Expenditure Plan

Local Mental Health Director	Program Leads
Name: Amy R. Ellis, MFT Telephone Number: (530) 889-7256 E-mail: arellis@placer.ca.gov	Name: Kathie Denton, Program Manager and Jennifer Cook, Program Manager Telephone Number: 530-886-2974 and 530-889-6734 E-mail: kdenton@placer.ca.gov and jcook@placer.ca.gov
Local Mental Health Mailing Address: 11512 B Avenue Auburn, CA 95603	

I hereby certify that I am the official responsible for the administration of county/city mental health services in and for said county/city and that the County/City has complied with all pertinent regulations and guidelines, laws and statutes of the Mental Health Services Act in preparing and submitting this Reversion Expenditure Plan, including stakeholder participation and non-supplantation requirements.

This Reversion Expenditure Plan has been developed with the participation of stakeholders, in accordance with Welfare and Institutions Code Section 5848 and Title 9 of the California Code of Regulations section 3300, Community Planning Process. The draft Reversion Expenditure Plan was circulated to representatives of stakeholder interests and any interested party for 30 days for review and comment. All input has been considered with adjustments made, as appropriate. The Reversion Expenditure Plan, attached hereto, was adopted by the County Board of Supervisors on June 5, 2018.

Mental Health Services Act funds are and will be used in compliance with Welfare and Institutions Code section 5891 and Title 9 of the California Code of Regulations section 3410, Non-Supplant.

All documents in the attached annual update are true and correct.

Amy R. Ellis, MFT		6/25/18
Mental Health Director (PRINT)	Signature	Date

Enclosure 4

MHSA COUNTY REVERSION CERTIFICATION

County/City: Placer FY2017-18 through FY 2019-20

Local Mental Health Director	County Auditor-Controller / City Financial Officer
Name: Amy R. Ellis, MFT	Name: Andrew Sisk
Telephone Number: 530-889-7256	Telephone Number: 530-889-4160
E-mail: arellis@placer.ca.gov	E-mail: auditor@placer.ca.gov
Local Mental Health Mailing Address: Placer County Behavioral Health Attn: Amy R. Ellis 11512 B Ave. Auburn, CA 95603	

I hereby certify that the Adjustments Worksheet is true and correct and that the County has complied with all fiscal accountability requirements as required by law or as directed by the State Department of Health Care Services and the Mental Health Services Oversight and Accountability Commission, and that all expenditures are consistent with the requirements of the Mental Health Services Act (MHSA), including Welfare and Institutions Code (WIC) sections 5813.5, 5830, 5840, 5847, 5891, and 5892; and Title 9 of the California Code of Regulations sections 3400 and 3410. I further certify that all expenditures are consistent with an approved plan or update and that MHSA funds will only be used for programs specified in the Mental Health Services Act.

I declare under penalty of perjury under the laws of this state that the foregoing and the attached Appeal Worksheets are true and correct to the best of my knowledge.

___Amy R. Ellis, MFT_____ 5/3/18

Local Mental Health Director (PRINT) Signature Date

I hereby certify that for the fiscal year ended June 30, 2017, the County/City has maintained an interest-bearing local Mental Health Services (MHS) Fund (WIC 5892(f)); and that the County's/City's financial statements are audited annually by an independent auditor and the most recent audit report is dated November 30, 2017 for the fiscal year ended June 30, 2017. I further certify that for the fiscal year ended June 30, 2017, the State MHSA distributions were recorded as revenues in the local MHS Fund; that County/City MHSA expenditures and transfers out were appropriated by the Board of Supervisors and recorded in compliance with such appropriations; and that the County/City has complied with WIC section 5891(a), in that local MHS funds may not be loaned to a county general fund or any other county fund.

I declare under penalty of perjury under the laws of this state that the foregoing, and if there is a revenue and expenditure report attached, is true and correct to the best of my knowledge.

Nicole C. Howard for Andrew C. Sisk
Asst Auditor-Controller

County Auditor Controller / City Financial Officer (PRINT)

5.4.18

Signature Date

Mental Health Services Act (MHSA)
Reversion Overview

In 2004, California voters passed Proposition 63, the Mental Health Services Act (MHSA), which became law on January 1, 2005. The Act imposes 1% taxation on personal income exceeding $1 Million. Over the past 12 years, these funds have expanded, enhanced and transformed the current behavioral health system.

Assembly Bill (AB114) became effective on July 10, 2017. The bill amended sections of the Welfare and Institutions Code (WIC Section 5892.1(a)) related to the reversion of MHSA funds. AB114 implemented provisions concerning funds subject to reversion as of July 1, 2017. Funds subject to reversion as of July 1, 2017, were deemed to have been reverted and reallocated to the county of origin for the purposes for which they were originally allocated (i.e., CSS, PEI, INN, WET, CFTN). Funds that could be subject to reversion as of July 1, 2017, were distributed to counties from Fiscal Year (FY) 2005-06 through FY2014-15.

Summary of Reversion Notices:

- 12/5/11 Department of Mental Health (now DHCS) issued Information Notice 11-15 clarifying how funds subject to reversion should be calculated by counties. Using this calculation, Placer County had no funds subject to reversion.
- 6/23/16 DHCS issued IN 16-026 rescinding IN 11-15, thereby making unspent funds from fiscal years 2008-2009 through 2015-2016 subject to reversion if not spent within three fiscal years from 7/1/16.
- 7/10/17 Assembly Bill (AB) 114 (Chapter 38, Statutes of 2017) became effective and amended Welfare and Institutions Code (W&IC) sections related to the reversion of MHSA funds making funds subject to reversion as of 7/1/17 deemed reverted and reallocated to the county of origin for the purposes for which they were initially allocated, and that such funds be spent by 7/1/20. AB 114 required that each County with unspent funds prepare a plan to expend funds by 7/1/18.
- 12/28/17 DHCS issued IN 17-059 providing the methodology used to calculate funds subject to reversion as of 7/1/17. IN 17-059 also provides guidance on the appeals process, the plan to spend the funds, and the consequences for failure to spend the funds. Placer County agrees with the States calculations of $471,335 Innovations funding from fiscal years 2008-2009 and 2014-2015 and $1,603,580 Capital Facilities and Technology Needs are reallocated.

On December 28, 2017 Placer County received Information Notice (IN) 17-059 from California Department of Health Care Services (DHCS) detailing how the process to determine the amount of unspent MHSA funds subject to reversion, the appeal process available regarding that determination, the requirements to expend reverted-reallocated funds by July 1, 2020, and the current notice supersedes all other reversion policies and Information Notices from DHCS or the former Department of Mental Health.

On January 5, 2018, Placer County received the first official notice regarding funds deemed reverted on July 1, 2017. This included the following:

Placer	CSS	PEI	INN	Total
FY 2005-06	$ -			$ -
FY 2006-07	$ -			$ -
FY 2007-08	$ -	$ -		$ -
FY 2008-09	$ -	$ -	$ 135,767	$ 135,767
FY 2009-10	$ -	$ -	$ -	$ -
FY 2010-11	$ -	$ -	$ -	$ -
FY 2011-12	$ -	$ -	$ -	$ -
FY 2012-13	$ -	$ -	$ -	$ -
FY 2013-14	$ -	$ -	$ -	$ -
FY 2014-15				
Total	$ -	$ -	$ 135,767	$ 135,767

[redacted] - No Funds Subject to Reversion
[redacted] ARER expenditure data is not complete

On February 21, 2018, Placer County received the second official notice, that supersedes the first notice, regarding funds deemed reverted on July 1, 2017. This included the following:

Placer	CSS	PEI	INN	WET	CFTN	Total
FY 2005-06	$ -					$ -
FY 2006-07	$ -			$ -		$ -
FY 2007-08	$ -	$ -			$ 1,603,580	$ 1,603,580
FY 2008-09	$ -	$ -	$ 135,767			$ 135,767
FY 2009-10	$ -	$ -	$ -			$ -
FY 2010-11	$ -	$ -	$ -			$ -
FY 2011-12	$ -	$ -	$ -			$ -
FY 2012-13	$ -	$ -	$ -			$ -
FY 2013-14	$ -	$ -	$ -			$ -
FY 2014-15	$ -	$ -	$ 335,568			$ 335,568
Total	$ -	$ -	$ 471,335	$ -	$ 1,603,580	$ 2,074,915

$ - No Funds Subject to Reversion
[redacted] ARER expenditure data is not complete

Updated as of: 2/1/2018

Information Notice 17-059 specified the following instructions for counties to plan to spend these funds:

- Have a plan posted on the county's website by June 30, 2018 on how they plan to spend reverted-reallocated funds by July 1, 2020. This document constitutes the plan to meet this requirement;
- Must submit a link to the plan to DHCS via email at MHSA@dhcs.ca.gov by July 1, 2018;
- Reallocated funds must be expended on the component for which they were originally allocated to the county *(CFTN and INN for Placer County);*
- Board of Supervisors (BOS) must adopt a final plan within 90 days of the county posting the plan to the county's website (Placer anticipates this will occur in June 2018);
- Each county must submit its final Plan to Spend to DHCS and the MHSOAC within 30 days of adoption by the county's BOS (Anticipated to occur before June 30, 2018);
- County may not spend funds that are deemed reverted and reallocated to the county until the county's BOS has adopted a plan to spend those funds;

- The expenditure plan must account for the total amount of reverted and reallocated funds for all impacted FYs, as indicated in the applicable notice of unspent funds subject to reversion or in the final determination on an appeal;
- The county must include the Plan to Spend in the County's Three-Year Program and Expenditure Plan or Annual Update, or as a separate plan update to the County's Three-Year Program and Expenditure Plan, and comply with WIC Section 5847(a) (This document meets the requirement as a separate plan update);
- The county may expend reallocated funds for an already approved program/project or use the reallocated funds to expand an already approved program/project provided the program/project is in then dame component as the component for which the funds were originally allocated to the county, which must be in compliance with applicable MHSA statutes and regulations; and
- Reallocated MHSA funds that are unexpended as of July 1, 2020, will be reverted to the State and reallocated to other counties.

FY2017-20 Reversion Expenditure Plan Purpose

The purpose of this Reversion Expenditure Plan is to provide the public with the strategies developed and approved by stakeholders to utilize the reverted and reallocated funds, in FY2017-18 through FY2019-20, according to the guidelines set forth by DHCS. This plan will act as a separate update to Placer County's MHSA Three Year Plan and Expenditure Report for FY2017-18 through FY2019-20, approved by the Board of Supervisors on July 11, 2017.

MHSA Community Program Planning, Local Review Process and Public Comment

30-Day Public Review and Comment Period: May 4, 2018 to June 3, 2018

COMMUNITY PROGRAM PLANNING

MHSA provides an opportunity to transform the mental health system, utilizing the vision of the MHSA principles. The Campaign for Community Wellness (CCW) has provided leadership of the MHSA Planning process, through participation of a large number of community partners and others detailed below. The CCW works closely with the Placer Systems of Care to transform mental health services through community-based, recovery focused, culturally competent, and innovative approaches. The Placer CCW functions as the legislatively mandated body to oversee the implementation of the MHSA.

The CCW is comprised of concerned community members; community based agencies; school and law enforcement partners; family members and consumers of Mental Health services; and the Placer County Systems of Care staff. The collaboration works to build wellness in the community; advocate for constituencies; promote peer-focused services; evaluate community needs; build community capacity; and share information about mental health and wellness.

In addition, consumers and family members have been involved to help shape services and define their role in the system.

This Reversion Expenditure Plan was discussed at the CCW on March 23 and April 27, 2018. The plan for spending was unanimously approved by the CCW Leadership on April 13, 2018.

LOCAL REVIEW PROCESS

This proposed FY 2017-2020 Reversion Expenditure Plan has been posted for a 30-day public review and comment period from May 4, 2018 to June 3, 2018. Hard copies are available in the lobbies of the Placer County Children's System of Care (CSOC) and Adult System of Care (ASOC) clinics.

The proposed FY 2017-2020 Reversion Expenditure Plan has been posted on the Campaign for Community Wellness website as well as the Placer Health and Human Services website, Campaign for Community Wellness - Mental Health Services Act.

Links and a digital copy of the report have been distributed via e-mail, with request for forwarding, to the numerous community lists, such as the Placer Collaborative Network Yahoo listserv; the Placer Consortium on Homelessness Yahoo listserv; and the Campaign for Community Wellness Steering Committee email distribution. In addition, links to this plan have been submitted to Department of Health Care Services and the Mental Health Services Oversight and Accountability Commission (MHSOAC).

In an effort to continually improve the FY 2017-2020 Reversion Expenditure Plan through community input, we welcome comments for a 30-day review from the date of posting. Below are the various ways to submit your comments.

All written comments (including e-mail) must be received by June 3, 2018 at 5:00 p.m.

By Mail:

Health and Human Services/Children's Systems of Care
Attention: Jennifer Cook
11716 Enterprise Drive
Auburn, CA 95603-3732

By E-Mail:

jcook@placer.ca.gov

Input on the FY 2017-2020 Reversion Expenditure Plan will be reviewed and incorporated into the final document, as appropriate, prior to submission to the County Board of Supervisors for review. The final approved document, including evidence of BOS approval, will be submitted to the Department of Health Care Services and the Mental Health Services Oversight and Accountability Commission (MHSOAC).

PUBLIC COMMENT

In response to the Mental Health Services Act FY 2017-2020 Reversion Expenditure Plan:

I feel you needed more time to get this report out to the clients. I didn't hear about this until shortly before my comment was due. The way the report is written, you would need an interpreter to explain it to the clients. We need more ways for clients to communicate their concerns to the Adult System of Care. This report should have been available to clients in the Cirby Clubhouse. The purpose of mental health is to help people with extreme needs and dysfunction. I felt the process of giving comments on this report was not one that encourages clients to trust the system. Clients need ways to give input that don't minimize or discount what they have to say. There needs to be more outreach to clients. As a client, I feel this information is not getting to the clients and their families.

Sincerely,

Paulette H. Nix
ASOC client

Response to comment:
We deeply appreciate the feedback about our posting locations. We strive to post in areas that would reach as many people as possible and we will add the Cirby Clubhouse and DeWitt Welcome Center to the list for all future plans. We also understand the MHSA is very complex in regulations and processes and welcome anyone to attend the Campaign for Community Wellness that serves as the Community Planning Process (CPP) for Placer County. We have an orientation group that would be happy to assist in explaining the plan and answering all questions.

INNOVATION (INN)

Placer County's FY2016-21 INN project, Homeless Integrated Care Coordination and Evaluation (HICCE), was approved by the MHSOAC on January 26, 2017.

Department of Health Care Services has identified $471,335 reverted and reallocated Innovations funds from FY08-09 and FY14-15. The plan to expend the reverted funds includes funding our current approved Innovation project, using reverted funds first until expended. The INN funds subject to reversion will be spent by June 30, 2020.

The vision of the MHSA Homeless Integrated Care Coordination and Evaluation (HICCE) Innovation Plan is to:

- create a comprehensive network and infrastructure in Placer County to improve services to the homeless and other high-risk individuals;
- expand and strengthen collaboration across agencies to promote access, meet unmet needs, and improve outcomes; and
- learn how to support data sharing across diverse entities to create a safety net that meets the complex needs of persons who are homeless and/or have chronic health conditions.

The Innovation Plan supports the activities of the Whole Person Care project by creating the capacity to build collaboration, develop Memorandums of Understanding (MOUs), and create Business Associate Agreements needed to collect and share information across organizations and utilize evaluation activities to share outcomes. This creates a continuous evaluation and feedback process to modify and improve services, meet individual's needs, and achieve positive outcomes (stable housing, benefits, management of chronic health and behavioral health needs).

The Innovation Plan will help create a cohesive safety net to quickly identify high-need individuals, engage and link them to needed services, and evaluate the success of the collaboration. This will include people identified in the hospital and/or Emergency Department, homeless individuals in the shelters, and/or persons released from higher levels of care, including justice-related settings.

This collaboration will help reduce the number of days homeless, improve health and behavioral health symptoms, and improve stability living in the community. Agencies will improve communication and coordination of services for shared clients and make linkage and referrals for needed services.

CAPITAL FACILITIES AND TECHNOLOGICAL NEEDS (CFTN)

Prior to AB114 and IN 17-059, Placer County did not have any CFTN funds that would revert July 1, 2017. Continued projects were included in the FY2017-20 MHSA 3-Year Program and Expenditure Plan and were approved by the BOS on July 11, 2017.

Department of Health Care Services has identified $1,603,580 reverted and reallocated CFTN funds from FY07-08. The total expenditures in each project is an approximation at this time given the complexity of building permits, construction cost variance, and availability of most client focused softwares. All changes will be outlined in subsequent annual plans. Placer County will use the reverted and reallocated funds on the following projects:

Technology Project- $1,053,580

EHR Enhancements and IT Upgrades

Placer County is on track to implement enhancements to the Electronic Health Record (Avatar), including restructuring cases from episodes to programs, implementing full electronic health records through document scanning, reconfiguring our Managed Services Organization (MSO) and Authorization processes, implement an electronic data collection for records that are not in Avatar (e.g., PEI, Innovations, System Development, etc.), implement a health information exchange, and improve direct access for consumers to better align with best practices. The goal is to continue to improve consumer movement through the system, while implementing new technologies. Funding will be required for professional consultation, acquisition of commercial software applications, development of software interfaces, modifications of existing software applications, and hardware required to implement this software and strategies.

Capital Facilities Projects- $550,000

Adult System of Care- Expanded Medical Supports

To improve mental health outpatient psychiatric services for consumers, ASOC will reconfigure space in the Dewitt Mental Health building(s) to expand doctor, nurse and clinical services. The building is owned by Placer County and has provided limited mental health services for the past 5 years. Doctor and nursing services to our Full Services Partnership teams who have been in the building for years will be expanded. Re-establishing clinical mental health services in addition to doctor and nursing services to our local enrollees will improve our "network adequacy" and "time and distance standards."

Main Street Plaza Apartments- Meta Housing

This housing opportunity with Meta Housing Corporation and the City of Roseville will develop approximately 75 affordable housing units and street level retail. A commitment of two hundred and fifty thousand of Capital Facility funds and some additional Community and Support dollars are set aside for the project. The commitment will provide 10 one-bedroom units exclusively for MHSA. The Capital Facility dollars are to support separate office space for service providers. Construction is expected to begin in the summer of 2018, with completion estimated in FY2019-20.

Adult System of Care- Mental Health Facility Improvements

The Cirby Hills facility of ASOC in Roseville services the Western edge (and most highly populated area) of the County with integrated services such as: county mental health services, physical health care, co-occurring substance use, mental health emergency care, crisis stabilization

services, and wellness supports. Some areas of this space (e.g., waiting room, group rooms, and other gathering areas) require improvement to better meet the needs of consumers. These capital facility improvements to the County Behavioral Health service sites will improve the client flow, create a more welcoming and engaging experience, and be able to better meet service needs. Security alerts and light renovations are some of the measures required to better respond to emergent client needs and create a welcoming environment to clients and community.